Refactorings for Android Asynchronous Programming

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Abstract—Running compute-intensive or blocking I/O operations in the UI event thread of smartphone apps can severely degrade responsiveness. Despite the fact that Android provides several async constructs that developers can use, developers can still miss opportunities to encapsulate long-running operations in async constructs. On the other hand, they can use the inappropriate async constructs, which result in memory leaks, lost results, and wasted energy. Fortunately, refactoring tools can eliminate these problems by retrofitting asynchrony to sequential code and transforming async code to use the appropriate constructs. This demo presents two refactoring tools for Android apps: (i) ASYNCHRONIZER, a refactoring tool that enables developers to extract long-running operations into Android AsyncTask; (ii) ASYNCDROID, a refactoring tool which enables developers to transform existing improperly-used AsyncTask into Android IntentService.

I. INTRODUCTION

For smartphone apps, responsiveness is critical. The apps can easily be unresponsive because mobile devices have limited resources and have high latency (excessive network accesses). With the immediacy of touch-based UIs, even small hiccups in responsiveness are more jarring than when using a mouse.

Previous research [29], [37] shows that many Android apps suffer from poor responsiveness. One of the primary reasons for unresponsiveness is executing all workload in the UI event thread. The UI event thread of an Android app processes UI events, but long-running operations (i.e., blocking I/O operations) will “freeze” it, so that it cannot respond to new user input or redraw.

While programmers can use java.lang.Thread to fork concurrent asynchronous execution, it is cumbersome to communicate with the main thread. Android framework provides a better alternative, AsyncTask, which is a high-level concurrent construct. AsyncTask can also interact with the UI thread by updating the UI via event handlers. For example, the event handler onPostExecute executes after the task is finished, and can update the UI with the task results.

In this demo, we first present an automated refactoring tool, ASYNCHRONIZER, that enables developers to use AsyncTask. To perform the refactoring, the developers only need to select the code that they want to run in background. ASYNCHRONIZER will automatically create an instance of AsyncTask as an inner class, generate event handlers in AsyncTask, and start the task. We decompose the refactoring into two steps: code transformation and safety analysis. The transformation part uses Eclipse’s refactoring engine to rewrite the code. The safety analysis uses a static race detection approach, specialized to AsyncTask. We implemented it as an extension of the ITE RACE [31] race detector.

Our study [27] shows AsyncTask is the most widely used async construct in Android. However, AsyncTask is designed for encapsulating short-running tasks (i.e., less than one second) and if improperly used, can lead to memory leaks, lost results, and wasted energy. There are several instances in which the Android system can destroy and recreate a GUI component while an AsyncTask is running: when a user changes the screen orientation, or navigates to another screen on the same app, switches to another app, clicks the “Home” button and navigates back, etc. If the AsyncTask is still running and it holds GUI reference, the destroyed GUI cannot be garbage collected, which leads to memory leaks.

On the other hand, if an AsyncTask that finished its job updates a GUI component that has already been destroyed and recreated, the update is sent to the destroyed GUI rather than the recreated new one, and cannot be seen by the user. Thus, the task result is lost, frustrating the user. Moreover, the device wasted its energy to execute a task whose result is never used. As pointed out by many developer forums [5], [7], [8], this problem is widespread and is critical for long-running tasks. Android provides another async construct, IntentService, for long-running tasks. IntentService does not have the above limitations because it does not hold a reference to GUI and instead uses a radically different mechanism to communicate with the GUI. To avoid the above problems of AsyncTask, developers must refactor long-running AsyncTask code into enhanced async constructs such as IntentService.

In this demo, we also present ASYNCDROID, an automated refactoring tool that refactors AsyncTask into IntentService. We developed ASYNCDROID as an Eclipse plugin, thus it offers all the convenience of a modern refactoring tool: it enables the user to preview and undo changes and it preserves formatting and comments. To use it the programmer only needs to select an AsyncTask instance, then ASYNCDROID verifies that the transformation is safe, and rewrites the code if the preconditions are met. However, if a precondition fails, it warns the programmer and provides useful information that helps the programmer fix the problem.

ASYNCHRONIZER is available at: http://refactoring.info/tools/asynchronizer and ASYNCDROID is available at: http://refactoring.info/tools/asyncdroid
II. THE ASYNCHRONIZER TOOL

A. Introduction to Android Programming and AsyncTask

Android GUIs are typically composed of several activities. An activity represents a GUI screen. For example, the login screen of an email client is an activity. Similar to many other GUI frameworks such as Swing [12] and SWT [13], Android uses a single thread model to process events [6]. Events in Android apps include lifecycle events (e.g., activity creation), user actions (e.g., button click), sensor inputs (e.g., orientation change), etc. Developers define event handlers to respond to these events. When an application is launched, the system creates a main thread, in which it will run the event handlers. Figure 1(a) shows a code snippet from an Android app, GR-Transit, that displays bus routes and schedules. The code snippet is used to show the bus routes that pass a given bus stop. OnCreate is an event handler that is invoked when an activity is created. It queries database (lines 16 to 18) and show the query results on GUI (lines 22 to 26).

However, blocking calls such as querying database can block the main thread, so developers should use asyn programming to avoid “freezing” the GUI. To ease the use of asynchrone, Android framework provides AsyncTask. AsyncTask is a high-level abstraction for encapsulating concurrent work. AsyncTask also provides event handlers such as onPostExecute that execute on the main thread after the task has finished. Thus, the background task can communicate with the main thread via these event handlers.

Figure 1(b) shows the corresponding async code of Fig. 1(a), with the use of AsyncTask. The methods that start with “on” are event handlers. onCreate handler creates an AsyncTask (lines 8 and 9) and executes it concurrently with the main thread. The doInBackground method (line 14) encapsulates the work that executes in the background. The task contains the database query. Finally, the result of the background computation is returned for main thread to use (i.e., count at line 19). The main thread executes the onPostExecute handler after doInBackground finishes to update GUI (lines 22 to 26).

B. Refactoring Workflow and Preconditions

We implemented ASYNCHRONIZER as a plugin in the Eclipse IDE [9]. To use ASYNCHRONIZER, the programmer selects statements that she wants to encapsulate within AsyncTask, and then chooses the CONVERT TO TASK option from the refactoring menu. The programmer can also specify the class and instance name that ASYNCHRONIZER will use to generate AsyncTask. ASYNCHRONIZER moves the selected statements into AsyncTask doInBackground method. In addition, ASYNCHRONIZER also infers the subsequent statements that can be moved to onPostExecute. Before applying the changes, ASYNCHRONIZER gives the programmer the option to preview them in a before-and-after pane. Then, ASYNCHRONIZER transforms the code in place. In Fig. 1(a), if the programmer applies our transformation to lines 16 to 18, ASYNCHRONIZER will transform the code to Fig. 1(b).

After the transformation, the programmer can invoke ASYNCHRONIZER’s safety analysis component to check data races due to the transformation. If ASYNCHRONIZER found data races, the programmer still needs to confirm and fix them manually. Only after this the refactored code is correct.

ASYNCHRONIZER checks the following three preconditions before transforming, and reports failed preconditions:

(P1) The selected statements do not write to more than one variable which is read in the statements after the selection. Such a variable needs to be returned by doInBackground, but Java methods can only return one single variable 1.

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1An improvement is to declare multiple return variables as fields of the AsyncTask instead of local variables. This way can eliminate data races, the programmer still needs to confirm and fix them manually. Only after this the refactored code is correct.
(P2) The selected statements should not contain return statements. A return statement in the original code enforces an exit point from the enclosing method. However, the same return statement extracted into an AsyncTask can no longer stop the execution of the original method. Similarly, the break and continue statements are only allowed if they are selected along with their enclosing loop.

(P3) The selection contains only entire statements. Selecting an expression that is part of a statement is not allowed because it would force the AsyncTask to immediately block and wait for the task result; this defies the whole purpose of launching an AsyncTask.

C. Analysis and Transformation for AsyncTask

Create the doInBackground Method. The first step of the transformation is to move the selected statements into the doInBackground method. This is similar to Extract Method refactoring. In this step, ASYNCHRONIZER needs to determine the arguments and the return value of doInBackground. The arguments are the local variables which are used in the selection but declared before it. The return value is the local variable which is defined in the selection but used after it. In Fig. 1(a), the doInBackground method takes one argument, qry and returns count.

Create onPostExecute Handler. The second step is to infer which code can be put into onPostExecute handler. Because the Android framework invokes the onPostExecute after the method doInBackground has finished, the analysis needs to determine that the statements inside these two methods follow the same control-flow as in the original program. Otherwise, the refactored program will have a different semantics. The algorithm for creating onPostExecute can be found in [28]. In the example shown in Fig. 1(a), all the statements after the selected code (lines 22 to 26) can be put into onPostExecute.

Create Class Declaration. In this step, ASYNCHRONIZER creates fields, constructor and class declaration for AsyncTask. Fields are generated by analyzing the statements in onPostExecute. Since onPostExecute only have one parameter which is the return value of doInBackground, the tool converts all the other arguments needed by onPostExecute into fields of AsyncTask. For example, in Fig. 1(b), local variable lv is needed by onPostExecute. ASYNCHRONIZER declares a field lv in the AsyncTask (line 12) and adds a constructor to initialize this field (line 13). After that, it creates an inner class declaration using all the code elements which have been created above (line 11). Finally, it generates two statements to create task instance and call execute method, and replaces the selected code by these two statements (lines 8 and 9).

Safety Checking for the Refactoring. Our study [28] shows that developers do introduce data races when they manually refactor sequential code into AsyncTask concurrent code. These data races are either accesses to GUI elements from the doInBackground, or possibly concurrent accesses to other shared resources. Data races are hard to find as they only manifest themselves under certain thread schedules. To assist developers with the refactoring, we propose a static race detection approach specialized to the thread structure generated by AsyncTask. We implement our approach as an extension of the ITERACE race detector [31]. ITERACE is a static race detector for Java parallel loops that achieves low rates of false warnings by taking advantage of the extra semantic information provided by the use of high-level concurrency constructs. While ITERACE is only capable of analyzing parallel loops, its approach of taking advantage of the implicit thread structure of high-level concurrency constructs is also applicable to AsyncTask. We extended ITERACE to find races that occur between doInBackground and other threads and integrated it with ASYNCHRONIZER. Details can be found in [28].

Summary of Evaluation. To evaluate ASYNCHRONIZER’s usefulness, we used it to refactor 200 places in 32 open-source Android projects. We evaluate ASYNCHRONIZER from five angles. First, since 95% of the cases meet refactoring preconditions, it means that the refactoring is highly applicable. Second, in 99% of the cases, the changes applied by ASYNCHRONIZER are similar with the changes applied manually by open-source developers, thus our transformation is accurate. Third, ASYNCHRONIZER changes 2394 LOC in 62 files in just a few seconds per refactoring. Thus, it can save programmers’ effort. Fourth, using ASYNCHRONIZER we discovered and reported 169 data races in 10 apps. 5 replied and confirmed 62 races. This shows that the automated refactoring is safer than manual refactoring. Fifth, we also submitted patches for 123 refactorings in 19 apps. 10 replied and accepted 40 refactorings. This shows that ASYNCHRONIZER is valuable.

III. THE ASYNCDROID TOOL

A. Introduction to IntentServices in Android

As shown in Sec. I, AsyncTask is only fit for short-running tasks (i.e., less than one second), since it can lead to memory leaks, lost results, and wasted energy. For long-running tasks, developers should use IntentService. IntentService encapsulates operations that are executed in a background thread instead of main thread. Unlike AsyncTask which use shared-memory for communication, IntentService uses a distributed-style programming to communicate with main thread.

Figure 2 shows an equivalent implementation of Fig. 1(b) using IntentService. Service is started when startService is invoked (line 12). The background task from Fig. 1(b) is now put into onHandleIntent method (line 29). onHandleIntent method is executed in a background thread. After the task is finished, IntentService sends its task result via sendBroadcast method (lines 32 and 33). The task result is sent to the broadcast receiver using a broadcast filter (lines 2 and 9). Once the receiver receives the task result, it will register a broadcast receiver using a broadcast filter (lines 19 to 24). Thus, onReceive is semantic-equivalent to onPostExecute in AsyncTask.

Notice that the objects transferred between IntentService and main thread (e.g., task result) should be marshalled by an Intent object, which is marshalled and sent via broadcast (lines 10, 11, 34, 35). Intent is analogous to a hash map whose key is a string and value is a serializable
public class RouteselectActivity extends Activity {
    public static final String FILTER = "Routeselect_receiver";
    private RouteselectReceiver receiver;
    protected void onCreate() {
        ...  
        final String qry = "select...";
        receiver = new RouteselectReceiver[lv];
        this.registerReceiver(receiver, new IntentFilter(FILTER));
        Intent intent = new Intent(this, RouteselectService.class);
        intent.putExtra("qry", qry);
        this.startService(intent);
    }

    private class RouteselectReceiver extends BroadcastReceiver {
        List<Lv> lv;
        int count;
        public RouteselectReceiver(ListView lv) { this.lv = lv; }
        public void onReceive(Context context, Intent intent) {
            int count = intent.getIntExtra("RV");  
            lv.set징TouchListener(mGestureListener);  
            if (count > 1)  
                lv.setText(R.string.route_filing);  
            else if (count == 0)  
                lv.setText(R.string.stop_unusual);  
        }
    }
    public class RouteselectService extends IntentService {
        String qry;
        private void startManagingCursor(Cursor csr, int count) {...}
        public void onHandleIntent(Intent intent) {
            Cursor mCsr = DatabaseHelper.ReadableDB().rawQuery(qry);
            int count = mCsr.getCount();  
            Cursor mCsr = DatabaseHelper.ReadableDB().rawQuery(qry);
            startManagingCursor(mCsr, count);
            Intent result = new Intent(RouteselectActivity.FILTER);
            result.putExtra("RV", count);
            sendBroadcast(result);
    }
}

Fig. 2: Asyc Code using IntentService that is semantically equivalent with Fig. I(b)

object (i.e., implements java.io.Serializable or android.os.Parcelable). It is the only medium through which different components (e.g., service and broadcast receiver) can exchange data. To register a receiver, the developer should provide a filter (represented by a string) to specify which broadcast the receiver can receive. For example, line 2 defines a filter "FILTER". Lines 9 and 34 use it to register receiver and send broadcast.

Since IntentService is not affected by the destruction of the GUI objects that started it, it does not suffer from the problems introduced in Sec. I. Thus, it can be safely used for both short or long tasks.

B. Refactoring Workflow and Preconditions

Our study [27] shows AsyncTask is being overused at the expense of IntentService. Inspired by these findings, we implemented ASYNCDROID, an automated refactoring tool that transforms shared-memory into distributed-style communication in the context of Android async programming. ASYNCDROID refactors existing AsyncTask into IntentService.

We have implemented the refactoring as a plugin in the Eclipse IDE, on top of Eclipse JDT [10] and refactoring engine [9]. To use ASYNCDROID, the developer selects the doInBackground method in the AsyncTask she wants to transform, and then chooses CONVERT TO INTENT SERVICE option from the refactoring menu. It helps developers migrate the inappropriately used AsyncTasks to IntentServices. Additionally, by looking at transformations performed (e.g., using Eclipse's preview refactoring feature), developers can educate themselves on how to transform between AsyncTasks to IntentServices.

ASYNCDROID has several preconditions, which together dictate the canonical form which the source code must adhere to for a successful transformation:

P1: All variables that flow into or escape from doInBackground are or can be marked as serializable. This is required because such variables need to be transferred to IntentService and BroadcastReceiver via marshalling/unmarshalling.

P2: All the methods invoked in doInBackground should also be accessible by IntentService. Because most AsyncTasks are declared as non-static inner classes, they can call methods from the outer class. Since IntentService is not an inner class, it needs to be able to call the same set of methods from the outer class, so their visibility needs to be appropriate.

P3: The refactored task is directly extended from AsyncTask and is not subclassed. This precondition prevents the refactoring from breaking the inheritance relation and affecting other AsyncTasks that are not refactored in the type hierarchy.

P4: An AsyncTask instance is only used when invoking AsyncTask.execute. For example, if a task instance is used as a method argument or return value, ASYNCDROID halts the refactoring to avoid changing the design contract of the method.

Figure 1(b) is a valid example of a target program that meets all these preconditions

C. The Refactoring Approach

Analyzing Transferred Objects. Since doInBackground and onHandleIntent are semantic-equivalent methods that enclose background task, ASYNCDROID needs to analyze doInBackground to determine which objects should be transferred. An example of transferred object is the argument qry and the return value count in Fig. 1(b) (lines 9, 19).

As mentioned in Sec. III-A, the transferred objects are marshalled and required to be serializable. ASYNCDROID traverses the type hierarchy and checks the serializability of an variable type based on its definition [11]. ASYNCDROID also refactors a type to implement java.io.Serializable if its fields conform to the definition but it has not implemented yet. Note that when refactoring a type to be serializable, ASYNCDROID also checks the serializability of all its subtypes to guarantee the transformation is safe, and it only refactors the type defined in source code, not libraries. If any of the transferred objects are not serializable or cannot be refactored, the refactoring fails precondition P1.

Generating and Starting IntentService. First, for the target AsyncTask, ASYNCDROID creates a corresponding IntentService class. The method body of onHandleIntent is moved from doInBackground. Unlike AsyncTask, IntentService class cannot be a non-static inner class. Thus, ASYNCDROID creates it as an independent class. ASYNCDROID creates a field for each variable flows into IntentService (line 27 in Fig. 2). ASYNCDROID adds statements to unwrap objects from Intent at the beginning of onHandleIntent. At every exit of doInBackground, ASYNCDROID creates an Intent
to carry task results, and sends it via broadcast (line 36 in Fig. 2). Second, since doInBackground can invoke methods defined in AsyncTask or refactored class, ASYNCDROID moves (or copies if multiple call sites exist) such methods into IntentService class (line 28 in Fig. 2). Finally, ASYNCDROID rewrites the call sites of AsyncTask.execute into startService. This includes creating the Intent object to wrap the arguments (lines 10, 11 in Fig. 2).

Creating and Registering Receiver. AsyncTask communicates with GUI through handlers. However, to receive task result from IntentService, the developer needs to establish a channel by registering a BroadcastReceiver on GUI. ASYNCDROID rewrites the target AsyncTask into BroadcastReceiver class. It keeps all the fields, constructors and handlers defined in AsyncTask, and rewrites onPostExecute handler into BroadcastReceiver.onReceive (lines 18 in Fig. 2). ASYNCDROID also inserts statements at the beginning of onReceive to unwrap task results (lines 30 in Fig. 2). A filter is required when registering receiver. The filter specifies which broadcast a receiver can receive. ASYNCDROID concatenates refactored class name and receiver name as filter name, and uses it to register receiver and send broadcast (lines 2, 9 and 34 in Fig. 2). Notice that the receiver should be registered in lifecycle event handlers (e.g., onCreate) to avoid lost task results during GUI recreation. Details can be found in [27].

Summary of Evaluation. We evaluated ASYNCDROID empirically, by refactoring 97 AsyncTasks in 9 popular open-source Android projects. We evaluate ASYNCDROID from three aspects. First, 45% of the AsyncTasks pass the refactoring preconditions, and with minor manual changes another 10% AsyncTasks can pass preconditions. This means the refactoring is highly applicable. Second, ASYNCDROID changed 3386 SLOC in 77 files in total, determined that 148 variables flow into or escape from IntentService, moved 14 methods into IntentService, and marked 18 types as serializable. This task is very large and challenging to be performed manually, but ASYNCDROID performs each refactoring in a few seconds. This shows that ASYNCDROID can save developer effort. Third, we submitted 45 refactoring patches in 7 projects. 4 projects replied and considered our changes to be correct, and they accepted 15 refactorings. This shows ASYNCDROID is valuable.

IV. DISCUSSION

Asynchrony is crucially important in mobile devices. With their rich array of sensors, camera, and GPS all integrated in a convenient form factor, mobile devices offer exciting new applications and services never before possible on consumer devices. These can be harnessed only if apps leverage asynchrony to ensure responsive behavior. Refactoring tools help mobile apps to keep responsive.

Manual refactoring is tedious and error-prone. We hope the refactorings we presented in this demo can help Android developers retrofit asynchrony more efficiently and save their programming efforts. On the other hand, the refactored code provide an positive example to beginners about how to use async constructs (such as IntentService). For tool builders, we hope our proposal gives them a hint on building refactorings for async constructs on other platforms (e.g., WindowsPhone). Researchers can investigate the approaches of integrating performance bug detection techniques with async refactorings, and propose novel frameworks for performance bug detecting and fixing. As one of our future work, we plan to integrate our refactorings with Google ShipShape [3], which is a static program analysis platform allows custom analyzers to plug in through a common interface. We believe such integration can increase the impact of these refactorings.

V. RELATED WORK

Google provides several tools for performance testing of Android apps [1], [2], [4]. MonkeyRunner [2] generates pseudo-random streams of user and system events. TraceView [4] is a performance profiler which analyzes the execution logs and shows profiling results through a graphical viewer. StrictMode [1] provides ways to detect lengthy operations in main thread through testing.

Several researchers proposed testing and analysis approaches for mobile apps [14], [15], [22], [24], [29], [36]. Berardinelli et al. [15] introduced a framework for modeling and analyzing the performance of context-aware mobile software systems. Liu et al. [29] proposed a test generation approach to detect memory leaks for Android apps. Such testing tools can be integrated with our refactoring tools to identify where to apply the refactorings. Recent work on dynamic race detectors [21], [30] proposed a causality model for Android apps which they used to infer happens-before relationships between events. These dynamic race detectors can combine with ASYNCHRONIZER to reduce false positives in race detection phase.

Several refactorings that aim to improve non-functional qualities (e.g., performance through parallelism and concurrency) have been proposed. Schafer et al. [33] proposed a refactoring for replacing Java built-in locks with more flexible locks. Wloka et al. [35] presented a refactoring for replacing global state with thread local state. Schafer et al. [32] examined whether classic refactorings can be safely applied to concurrent programs. Our group implemented several concurrency-related refactorings to improve throughput, scalability and asynchrony [16]–[20], [23], [25], [26], [28], [31], [34].

VI. CONCLUSIONS

Refactoring tools can help programmers retrofit asynchrony into mobile apps. This demo presents two refactoring tools for Android apps. ASYNCHRONIZER is a tool for retrofitting asynchrony into sequential code via a basic Android async construct, AsyncTask. ASYNCDROID converts share-memory style AsyncTask into an enhanced async construct, the distributed-style IntentService. Our preliminary experience with refactoring several Android projects shows that ASYNCHRONIZER and ASYNCDROID are applicable, accurate, efficient and produce valuable refactorings.

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